

COMPLIANCE REVIEW
PRESTON YOUTH CORRECTIONAL FACILITY



Prepared by:

California Department of Corrections and Rehabilitation
Office of Audits and Compliance

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SAFETY AND WELFARE REMEDIAL PLAN

Safety and Welfare Remedial Plan

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EXECUTIVE SUMMARY

The Office of Audits and Compliance, Compliance/Peer Review Branch (CPRB) utilized the Safety and Welfare Remedial Plan, Section 2.4, Item 6, Section 6, Item 4.d, Section 6, Item 5, and Section 8.4, Item 4, to determine whether Preston Youth Correctional Facility (PYCF) is in compliance with the above policies and identified areas outlined in the Safety and Welfare Remedial Plan.

The review period for the Safety and Welfare Remedial Plan was January 2010 through April 2010 with fieldwork conducted on April 26 through 28, 2010.

The CPRB concludes that PYCF is in substantial compliance (SC) with Section 2.4, Item 6 and Section 6, Item 4.d in reference to Conflict Resolution Teams and their delivery of services to the youth population; Section 6, Item 5 in reference to mandated guidelines regarding reduced population for Behavior Treatment Programs (BTPs); and Section 8.4, Item 4 in reference to the level 1 infraction appeal process.

BACKGROUND

On November 19, 2004, a Consent Decree was entered into in the case of *Farrell v. Allen*. The Consent Decree required the defendant, now the Division of Juvenile Justice (DJJ), to file Remedial Plans in all areas of deficiency identified by the Court appointed experts by January 31, 2005. In January 2005, in response to the Consent Decree, DJJ made the decision to reform California's juvenile system into a rehabilitative model based on a therapeutic environment.

The DJJ has established a Farrell Task Force Team to develop, implement, and measure compliance within the scope of the six Remedial Plans. As part of the Farrell Task Force, the CPRB is charged with assessing compliance and if applicable, recommending corrective actions related to the findings.

The CPRB will be reviewing specific action items that make up the 6 Remedial Plans. The action items that have been selected for review are based on risk to the Department. These issues include, but are not limited to, 18 items with a time sensitive date and key indicators. The review will be evaluated using a compliance rating system. Each action item will be evaluated by whether it is in SC, 85 percent and above, partial compliance (PC), 84 percent to 50 percent, or noncompliance (NC), 49 percent and below. Items that result in a yes or no compliance level will be rated as SC or NC. Items that cannot be rated will be categorized as not ratable (NR). Due to the diversity and occasional abstract content of the action items, a numeric rating system cannot always be utilized. As a result, a narrative rating system will be used to evaluate the level of compliance. All deficiencies or findings will require a corrective action plan, regardless of compliance rating.

The specific objectives of the review are to:

- Review duty statements, training records, and ensure adequate position reconciliation for Conflict Resolution Teams, according to policies;
- During site visits and through staff interviews, determine whether the Conflict Resolution Teams are adequate and operational;
- Ensure that the BTPs are properly staffed and youth populations on the BTPs meet the mandated guidelines; and
- Review the level 1 infraction appeal process, and assess the youth's participation in that process.

The CPRB determined whether the objectives are met by reviewing:

- Review any departmental and local policies and procedures;
- Conflict Resolution Team interviews;
- Manager and supervisor interviews;

- Review STD 607 Change in Established Positions form and duty statements;
- Review the BTP staffing package;
- Review the youth population for BTP's;
- Review teacher staffing for BTP's;
- Review level 1 infractions; and
- Review Ward Information Network (WIN) records for appeals, granted or denied.

CPRB Remedial Site Compliance Report

Section	Item	Auditing Method	SC	PC	NC	NR
2.4	6	<p>Action Item:</p> <p>Conflict Resolution Team(s)-positions filled/assigned, teams trained, and operational</p> <p>Methodology:</p> <p>Review STD 607 and duty statement.</p> <p>Review training records for members of the Conflict Resolution Teams.</p> <p>Review any departmental and local policies and procedures, referencing Conflict Resolution Teams.</p> <p>Criteria:</p> <p>Safety and Welfare Remedial Plan, page 20, July 10, 2006.</p>	X			
6	4.d	<p>Conflict Resolution Team(s)–same as Section 2.4, Item 6.</p> <p>Methodology:</p> <p>Review STD 607 and duty statement.</p> <p>Review training records for members of the Conflict Resolution Teams.</p> <p>Review any departmental and local policies and procedures, referencing Conflict Resolution Teams.</p> <p>Criteria:</p> <p>Safety and Welfare Remedial Plan, page 20, July 10, 2006.</p>	X			

CPRB Remedial Site Compliance Report

Section	Item	Auditing Method	SC	PC	NC	NR
6	5	<p>Action Item:</p> <p>Reduce population and increase staffing (interim BTPs)</p> <p>Methodology:</p> <p>Review staffing package for BTPs.</p> <p>Review ward(s) population on BTPs.</p> <p>Criteria:</p> <p>Safety and Welfare Remedial Plan, page 45, July 10, 2006.</p>	X			

CPRB Remedial Site Compliance Report

Section	Item	Auditing Method	SC	PC	NC	NR
8.4	4	<p>Action Item:</p> <p>Level 1 infraction appeals process implemented</p> <p>Methodology:</p> <p>Review level 1 infraction's.</p> <p>Review level 1 ward appeals (hardcopies).</p> <p>Review WIN records for appeals, denied or granted.</p> <p>Criteria:</p> <p>Safety and Welfare Remedial Plan, page 70, July 10, 2006.</p>	X			

Review of the Safety and Welfare Remedial Plan

PRESTON YOUTH CORRECTIONAL FACILITY

GLOSSARY

BTP	Behavior Treatment Program
CPRB	Compliance/Peer Review Branch
DJJ	Division of Juvenile Justice
NC	Noncompliance
NR	Not Ratable
PC	Partial Compliance
PYCF	Preston Youth Correctional Facility
SC	Substantial Compliance
WIN	Ward Information Network